

Prime Minister Office Notification
on Customer Identification for Financial Institutions
and Businesses and Professions under Section 16
B.E. 2556

By virtue of the provisions of Section 4 and paragraph two of Section 20 of the Anti-Money Laundering Act B.E. 2542 (1999), which is a law containing certain provisions in relation to the restriction of rights and liberty of a person, in respect of which Section 29, in conjunction with Section 33, Section 35, Section 36, Section 41 and Section 43 of the Constitution of the Kingdom of Thailand so permit by virtue of law, the Prime Minister hereby issues the Notification as follows.

Article 1 The Prime Minister Office Notification on Customer Identification for Financial Institutions and Businesses and Professions under Section 16 B.E. 2554 (2011), dated 12 May B.E. 2554 (2011) shall be revoked.

Article 2 For the purpose of this Notification;

“customer” means a natural person or legal person or legal arrangement who has a business relationship or conducts transactions with a financial institution or business or profession under Section 16.

“occasional customer” means a natural person or legal person or legal arrangement who conducts occasional transaction with a financial institution or business or profession under Section 16 and has not been identified and gone through due diligence process.

Article 3 Identification of a customer who is a natural person must have at least;

- (1) Name and surname;
- (2) Date of birth;
- (3) Personal ID number or, in case of a foreigner, passport number or ID number issued by national’s respective government or agency or ID number appearing in personal document issued by the government of Thailand (if any);
- (4) Address appearing in the house registration and residential address, in case the person does not reside at the registered address. In case of a foreigner, address in home jurisdiction and current address in Thailand;
- (5) Occupation and work address;
- (6) Contact information such as phone number or email address.

Article 4 identification of a customer who is a legal person or legal arrangement must have at least;

- (1) Name of the legal person or legal arrangement;

- (2) Tax payer ID (if any);
- (3) One of the following ID document:
 - (a) For an ordinary legal person: a registration certificate issued by the registrar within six months previously. In case of a legal person registered outside Thailand, prove of legal person status, which was certified or issued by a trustworthy agency or organization within six months previously.
 - (b) For a government agency, government organization, state enterprise or any other state agency which is a legal person: a letter of intent to conduct a transaction or a letter of designation or authorization.
 - (c) For a cooperative, foundation, association, club, temple, mosque, god shrine and any other legal person of a similar nature; a letter of intent to conduct a transaction, a registration certificate issued by the relevant agency or a letter of designation or authorization.
 - (d) For a legal arrangement: letter or document of establishment.
- (4) Address and phone number;
- (5) Name and surname of every person authorized to sign for the legal person;
- (6) Information of person authorized to establish business relationship or conduct the transaction and person assigned to establish business relationship or conduct the transaction.
 - (a) Name and surname;
 - (b) Date of birth;
 - (c) Personal ID number or, in case of a foreigner, passport number or ID number issued by national's respective government or agency or ID number appearing in personal document issued by the government of Thailand (if any);
 - (d) Address appearing in the house registration and residential address, in case the person does not reside at the registered address. In case of a foreigner, address in home jurisdiction and current address in Thailand;
- (7) Type and purpose of business;
- (8) Seal (if any);
- (9) Signature of authorized or assigned person to establish business relationship or conduct the transaction.

Article 5 Identification of occasional customer must have at least information under Article 3 (1), (2), (3), (4) and (6) or Article 4 (1), (2), (3), (4), (6) and (8) as the case may be.

Article 6 In the case where the relationship is not established face-to-face, financial institutions and businesses and professions under section 16 shall consider money laundering and terrorism financing risk of financial product or service provided in the established business relationship in accordance with Ministerial Regulation on Customer Due Diligence B.E. 2556 (2013)

Identification of non-face-to-face customer, for low risk financial products or services, must have at least information under Article 3 (1), (2), (3), (4) and (6) or Article 4 (1), (2), (4), and (6), as the case may be, and financial institutions and businesses and professions under section 16 shall retrieve information under Article 4 (3) prior to approval to conduct the first transaction.

Identification of non-face-to-face customer, for high risk financial products or services, must have at least information under Article 3 or Article 4, as the case may be, prior to approval to conduct the first transaction.

Identification of non-face-to-face customer, for occasional transaction shall be allowed only in case of a low risk financial product or service and provisions under paragraph two shall be applied to occasional non-face-to-face transaction of such low risk financial product or service *mutatis mutandis*.

Article 7 For identification of customer and occasional customer made with information and supporting evidence under Article 3, Article 4, Article 5 and Article 6, financial institutions and businesses and professions under section 16 shall set out measures for due diligence to check the veracity and authenticity of such information and supporting evidence such as verifying against original document, verifying against additional reference information, verifying against certified copy, verifying against reliable source of information, verifying against electronic database.

Done on 11 July B.E. 2556 (2013)

Yingluck Shinawatra

Prime Minister