

Prime Minister Office Notification

on Customer Identification Methodology for Financial Institutions
and Businesses and Professions under Section 16

By virtue of the provisions of Section 4 Paragraph one and Section 20 Paragraph two of the Anti-Money Laundering Act B.E.2542 (1999), the Prime Minister hereby issues the Notification as follows.

Article 1 This notification shall come into force after the lapse of ninety days from the date of its publication in the Government Gazette.

Article 2 The Prime Minister Office Notification on Customer Identification Methodology for Financial Institutions and Businesses and Professions under Section 16, dated 11 July B.E.2556 (2011), shall be revoked.

Article 3 In this Notification;

“Customer” means a natural person, juristic person or legal arrangement who has established a business relationship or conducts transactions with a financial institution or business or profession under Section 16.

“Legal arrangement” means a natural person or juristic person who has legally agreed to hold, make use of, dispose of or manage assets in any ways for the benefit of another natural person or juristic person.

“Signature” meaning includes electronic signature under electronic transactions law.

“Reliable source of information” means source of information which provides or prepares information reasonably and with criteria or reference for the public or business group to be able to verify or acknowledge on such information.

Article 4 Identification of a customer who is a natural person shall have, at the minimum following information:

- (1) Full Name;
- (2) Date of birth;
- (3) Personal identification number or, in case of a foreigner, passport number or other identification number issued by government or government agency of citizenship or identification number appears in other identification document issued by the government of Thailand;
- (4) Address appears in personal identification card or in the house registration and current address. In case of a foreigner, the country of citizenship and current address in Thailand shall be provided, except for the case of a foreigner with no address in Thailand, whose current address shall be used instead;
- (5) Other contact information such as phone number or email address.

For the identification under Paragraph one, financial institutions or businesses or professions under Section 16 shall consider the risk of money laundering and financing of terrorism and proliferation of weapons of mass destruction of their financial services or products upon establishing business relationship or executing occasional transaction as prescribed in the Ministerial Regulation on Customer Due Diligence.

Article 5 Where a transaction is not a low risk financial product or service or such product or service is provided without applying risk mitigation measure that make such financial product or service low risk, financial institution or business or profession under Section 16 shall also request the following information and evidence in addition to Article 4.

- (1) Evidence of information under Article 4 (3);
- (2) Information on occupation including name and address of work place;
- (3) Signature of person executing transaction.

Article 6 Identification of a customer which is a juristic person, shall have at the minimum information as follows:

- (1) Name of the juristic person;
- (2) Type and purposes of business;
- (3) Address and phone number;
- (4) Taxpayer Identification Number (if any);
- (5) Full name of every person authorized to sign on behalf of the juristic person;
- (6) Information of person who was ultimately given power of attorney to establish

business relationship or conduct occasional transaction with financial institution or business or profession under Section 16 as follows:

- (a) Full name;
- (b) Date of birth;
- (c) Personal identification number or, in case of a foreigner, passport number or other identification number issued by government or government agency of citizenship or identification number appears in other identification document issued by the government of Thailand;
- (d) Address as appeared on the personal identification card or on the house registration and current address. In case of a foreigner, identify the country of citizenship and current address in Thailand except a foreigner with no address in Thailand, whose current address shall be used instead;
- (e) Signature of person who was ultimately given power of attorney.

In addition to the information for customer identification under Paragraph one, financial institutions or businesses or professions under Section 16 shall request additional evidence in the following cases:

(1) For an ordinary juristic person; a registration certificate issued by the registrar within six months, shall be requested. For a juristic person registered outside Thailand, evidence proving juristic person status issued by a reliable agency or organization within six months shall be requested;

(2) For a government agency, government organization, state enterprise or any other government agency which is a juristic person; a letter of intent to conduct a transaction, a letter of designation or power of attorney shall be requested;

(3) For a cooperative, foundation, association, club, temple, mosque, shrine and any other juristic person of a similar nature; a letter of intent to conduct a transaction, a registration certificate issued by the relevant agency, a letter of designation or power of attorney shall be requested.

Article 7 Identification of a customer who is a legal arrangement

(1) In case of natural person, the information under Article 4 or Article 5, as the case may be, shall be provided, at the minimum.

(2) In case of juristic person, the information under Article 6 shall be provided, at the minimum.

In this regard, financial institutions or businesses or professions under Section 16 shall also request for a copy of letter or document which proves agreement appointing such person as the legal arrangement.

Article 8 For identification of a customer who does not have business relationship establishment or a non-face-to-face customer, financial institutions or businesses or professions under Section 16 shall comply with Article 4, Article 5, Article 6 or Article 7, as the case may be.

Article 9 For the arrangement of customer identification under Article 4, Article 5, Article 6, Article 7 and Article 8, financial institutions and businesses and professions under Section 16 shall stipulate and implement customer due diligence measures for verification and authenticity of information as well as evidences supporting customer identification.

Article 10 Under the provisions of law on electronic transaction or other laws related to electronic information, all electronic information relating to identification under this Notification used by financial institutions or businesses or professions under Section 16 and received from customers or reliable source of information, shall be considered as information or evidence relating to identification under this Notification and financial institutions or businesses or professions under Section 16 shall not be not required to arrange for additional customer identification.

Done on 9 July B.E. 2562 (2019)

Signature

General Prayut Chan-o-Cha
Prime Minister